

SYLLABUS
Faculty of Law

Course Unit Code	Course Title		Credits	ECTS Value
LAW488	Banking and Capital Markets Law		2(2-0-2)	3
Prerequisite Courses:	No			
Course Language:	Turkish	Course Delivery Mode:	Face-to-face	
Course Type and Level:	Elective/4. Year/Spring Semester			
Title, Name and Surname of the Instructor of the Course		Course Hours	Office Hours	Communication
Assist. Prof. Dr. Selen Serder		Wednesday 10.00-11.30	Thursday 14.00-16.00	selenserder@cag.edu.tr
Course Coordinator:				
Course Objectives				
Course Learning Outcomes	Upon successful completion of this course, the student will be able to:		Relations	
			Program Outcomes	Net Contribution
	1	Defines the basic concepts, regulatory institutions and types of financial institutions of banking and capital markets law and explains the structural differences between them.	PO1, PO4, PO5	5
	2	Interprets deposit, credit, payment systems and capital market transactions and explains their contractual results.	PO2, PO3, PO6	5
	3	Analyzes customer secret, collateral and liability provisions in banking; compares them with intermediary obligations in the capital market.	PO2, PO3, PO9	4
	4	It evaluates the rights of depositors, loan customers and investors; interprets the effectiveness of protection mechanisms.	PO3, PO7, PO10	4
	5	Determines administrative, judicial and alternative solutions in financial disputes and develops legal solutions for concrete cases.	PÇ2, PÇ6, PÇ8, PÇ9	5
	6			
	7			
Course Content:				
Course Schedule: (Weekly Plan)				
Week	Topic	Preparation	Teaching Methods and Techniques	
1	Banking market	Lecture Notes	Lecture, Question and Answer	
2	Administrative and legal order in banking	Lecture Notes	Lecture, Question and Answer	
3	Introduction to banking law	Lecture Notes	Lecture, Question and Answer	
4	Sources of banking law	Lecture Notes	Lecture, Question and Answer	
5	Establishment and operation of banks	Lecture Notes	Lecture, Question and Answer Leading Discussion	
6	Institutional structure of banks	Lecture Notes	Lecture, Question and Answer Leading Discussion	
7	Activities of banks	Lecture Notes	Lecture, Question and Answer Leading Discussion	

8	Midterm Exam		
9	Midterm Exam		
10	Market concept, market types and capital market	Lecture Notes	Lecture, Question and Answer
11	Definition and sources of capital markets law	Lecture Notes	Lecture, Question and Answer
12	Capital market instruments and their types	Lecture Notes	Lecture, Question and Answer, Case Solution
13	Issuance of capital market instruments	Lecture Notes	Question and Answer Leading Discussion, Case Resolution
14	Sale of capital market instruments and public offering	Lecture Notes	Incident Resolution, Role Play
15	Establishment and operation of Public Joint Stock Companies	Lecture Notes	Incident Resolution, Question and Answer Leading Discussion
16	Duties and Powers of the Capital Markets Board	Lecture Notes	Lecture, Question and Answer Leading Discussion
17	Final Exam		
18	Final Exam		

Course Resources

Textbook:	Capital Markets Law, Tekin Memiş/Gökçen Turan, 7. Bs, Ankara 2024
Recommended References:	

Course Assessment and Evaluation

Activities	Number	Percentile	Notes
Midterm Exam	1	50%	
Project			
Assignments			
Presentation			
Quiz			
Final Exam	1	50%	

ECTS Table

Content	Number	Hour	Sum
Course duration	14	2	28
Out-of-Class Study	14	3	42
Assignments			
Presentation			
Project			
Midterm Exam (Midterm Exam Duration + Midterm Exam Preparation)	1	7	7
Final Exam (Final Exam Duration + Final Exam Preparation)	1	9	9
Total:			86
Total / 30:			86/30=2.86
ECTS Credits:			3

Past Term Achievements

2024-2025 Spring
LAW488-Banking and Capital Markets Law

